

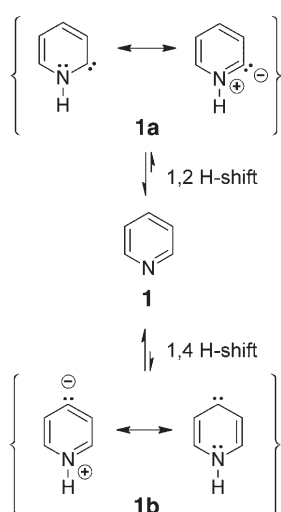
Synthetic Routes to N-Heterocyclic Carbene Complexes: Pyridine–Carbene Tautomerizations**

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1,2 H-shift · C–H activation · carbenes · pyridines · tautomerization

The mechanistic details of metal-induced acetylene–vinylidene rearrangements have been thoroughly examined in both theoretical and experimental studies.^[1] Other tautomerizations or isomerizations of C=C or C=X bonds (X = O, N) by a formal 1,2 H-shift at a metal center are quite rare and hence less well-investigated. A 2-carbene tautomer of pyridine **1a** (Scheme 1)—postulated 70 years ago^[2] and experimentally



Scheme 1. 1H-pyridin-2-ylidene (**1a**) and 1H-pyridin-4-ylidene (**1b**) as carbene tautomers of pyridine.

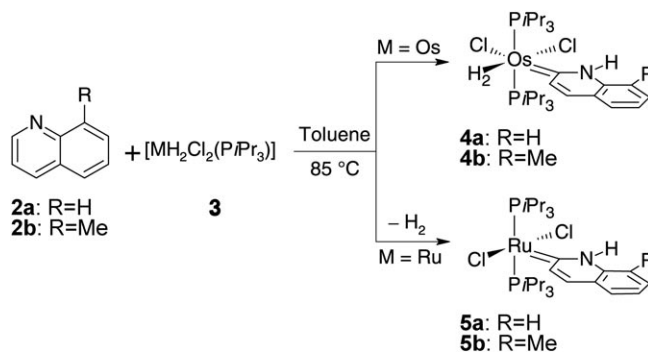
proved in the gas phase by mass spectrometry^[3]—has now been synthesized by the groups of Poveda and Carmona^[4] as a carbene complex starting from pyridine by metal-induced C–H activation. Concurrently, the same type of tautomerization has been found by Esteruelas et al. for quinoline.^[5]

The first example of a carbene complex synthesized by pyridine tautomerization was a pyridin-4-ylidene osmium complex reported by Taube et al. 20 years ago.^[6] Other pyridin-2-ylidene and -4-ylidene complexes (as well as *N*-alkylpyridin-2-ylidene and -4-ylidene complexes) are known but were synthesized by other routes.^[7]

Esteruelas et al. treated [OsCl₂H₂(P*i*Pr₃)₂] (**3**, M = Os) with 2.0 equiv of quinoline (**2a**) and 8-methylquinoline (**2b**) in toluene at 85 °C for 10 h to obtain the carbene complexes **4a** and **4b**, respectively, as orange solids in good yields (Scheme 2).^[5] In the ¹³C NMR spectra the signals at δ = 191 ppm confirm the carbene structure for both complexes. An X-ray crystal structure analysis of **4b** proves the tautomeric carbene form of the 8-methylquinoline

ligand. The Os–C_{carbene} distance of 2.005(6) Å is in accordance with that of other N-heterocyclic carbene osmium complexes. In addition DFT calculations on a model system indicated that a weak hydrogen bond between Cl and NH (Cl...H: 2.05(7) Å; IR: $\tilde{\nu}$ = 3130 cm^{−1}) plays an important role in stabilizing the carbene tautomer. Under the same conditions the reaction with [RuCl₂H₂(P*i*Pr₃)₂] (**3**, M = Ru) leads—upon loss of H₂—to analogous carbene complexes **5a** and **5b**, as confirmed by analytical data and crystal structure analysis.

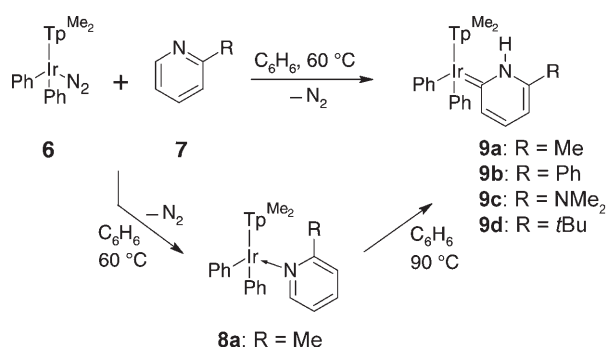
Poveda, Carmona et al. reported the reaction of 2-substituted pyridines **7** (R = Me, *i*Bu, NMe₂, Ph) with [Tp^{Me}₂IrPh₂(N₂)] (Tp^{Me}₂ = hydrotris(3,5-dimethylpyrazolyl)borate). In benzene at 60 °C the pyridine–carbene rearrangement yields the respective carbene complexes **9a–d** as two rotamers (Scheme 3).^[4] In the case of 2-picoline the N-coordinated complex **8a** could also be isolated and converted to carbene complex **9a** at 90 °C. In the ¹³C NMR spectrum the carbene signal is detected at δ = 175 ppm, as expected for an N-heterocyclic carbene (NHC)



Scheme 2. Stabilization of quinoline carbene tautomers as osmium and ruthenium chlorido-phosphine complexes **4** and **5**, respectively.^[5]

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Scheme 3. Metal-induced tautomerization of 2-substituted pyridines to give the iridium–carbene complexes **9a–d** by Poveda, Carmona et al.^[4]

complex. The X-ray structure analyses of complexes **9a** and **9b** confirm the carbene coordination, with a typical Ir–C_{carbene} bond length of 1.98 Å.

Interestingly, pyridine and 4-(dimethylamino)pyridine give only the N-coordinated adduct of type **8**. Exchange experiments with deuterated ligands show that 2-substituted pyridines are much more weakly N-coordinated than pyridine itself. Ligand exchange in complex **8a** with [D₇]picoline occurs at 60 °C, whereas the analogous pyridine complex shows no exchange with [D₅]pyridine even at 150 °C. Therefore steric demand is important in favoring C–H activation over N-coordination.^[8]

No further mechanistic considerations or studies were discussed, but as the pyridine–carbene tautomerization seems to be a much more general reaction pattern and not a mere curiosity, a closer examination of similar reactions and their mechanisms is helpful for a better understanding of these findings.

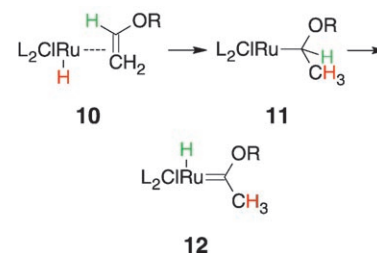
Although the acetylene–vinylidene rearrangement is endothermic (the vinylidene isomer is about 43 kcal mol^{–1} higher in energy than the acetylene isomer),^[9] transition-metal complexes can induce this isomerization and stabilize the vinylidene isomer by coordination so that the reaction becomes exothermic. Numerous examples for this transformation are reported in literature.^[1] However, the ethylene–methyl carbene tautomerization is not observed, as this reaction is endothermic by 79 kcal mol^{–1}. The stabilizing effect of transition-metal coordination is not sufficient for this reaction to become exothermic. Investigations by Caulton, Eisenstein et al. showed that [RuHCl–

(PiPr₃)₂] can induce this kind of rearrangement for vinyl ethers and vinylamides.^[10]

DFT calculations show that both the [Ru] fragment and the heteroatom stabilize the carbene tautomer (Scheme 4). The vinyl ether–carbene rearrangement, endothermic by 41 kcal mol^{–1} [Eq. (2)], is now in the same range as that calculated for the acetylene–vinylidene rearrangement. With the additional stabilizing effect of the [Ru] fragment, the rearrangement becomes thermoneutral [Eq. (4)], despite the fact that the stabilizing effect of the [Ru] fragment is stronger for ethyl carbene [Eq. (3) – Eq. (1)] than for the methoxyethyl carbene [Eq. (4) – Eq. (2)].

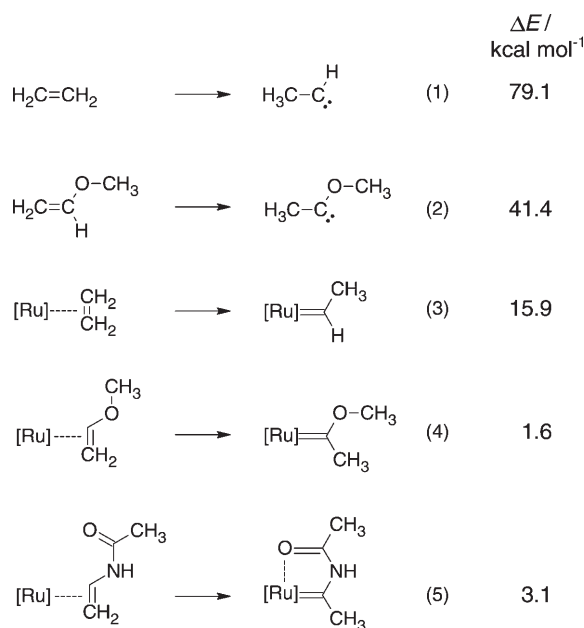
Other π -donor atoms like nitrogen can stabilize the carbene tautomer as

well. For vinylacetamide this reaction is endothermic by only 3 kcal mol^{–1} [Eq. (5)]. In experiments with the ligand PiPr₃ only the carbene complex is observed. The mechanism of this reaction (Scheme 5) can be explained by an addition of [Ru]–H to the olefin (**10**) to form alkyl complex **11**, which subsequently rearranges to the hydridocarbene complex **12**.



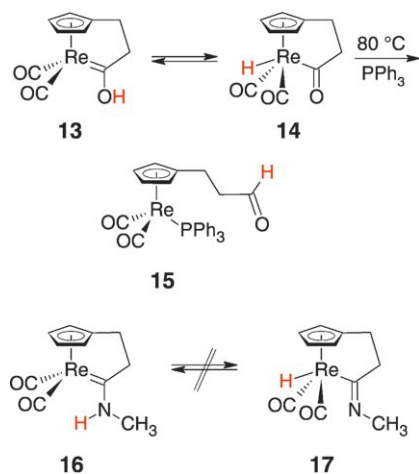
Scheme 5. Plausible mechanism of the vinyl ether–carbene isomerization on a {RuClHL₂} fragment (L = PiPr₃).^[10]

Carbene formation by a formal 1,2 H-shift is not solely limited to C=C bonds. The C–H activation of aldehydes and aldimines results in formation of hydridoacyl and iminoacyl complexes, respectively.^[11] For a better understanding of the mechanism, Casey et al. investigated the equilibrium between hydroxycarbene complex **13** and hydridoacyl complex **14** (the formal C–H



Scheme 4. Calculated energy differences for tautomerization reactions.^[10] Both [Ru] and the heteroatoms stabilize the carbene isomer. [Ru] = {RuHCl(PH₃)₂}.

activation product of an aldehyde; Scheme 6).^[12] In this special case the hydridoacyl complex **14** was favored as a result of reduced steric strain. Upon



Scheme 6. Isomerization of hydroxycarbene complex **13** to the aldehyde **15** via the hydridoacyl species **14**. The analogous aminocarbene complex **16** does not isomerize.^[12]

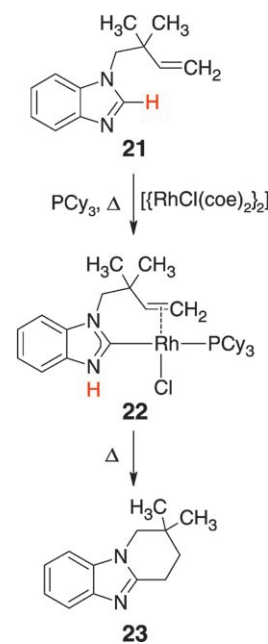
heating and addition of PPh₃ the phosphine complex **15** was formed. However, for the amine analogue **16**, a hydridoiminoacyl complex **17** (the formal C–H activation product of an acylimine) was not observed.

Formation of an aminocarbene complex by a formal 1,2 H-shift of acylimines was first observed by the group of Kirchner.^[13] The chelate effect of the pyridine moiety in the substrate played a key role in the activation of the benzaldimine **18** by [CpRu(CH₃CN)₂L] (L = CH₃CN, PMe₃), which subsequently

gave the carbene tautomer **20**. Calculations are consistent with the mechanism shown in Scheme 7. The course of the reaction strongly depends on the ligand L as complexes with L = CO or PPh₃ form only the N-coordinated species **19**. For L = CH₃CN and PMe₃ the reaction proceeds further via the intermediates **A** and **B** to the C–H-activated iminoacylhydrido complex **C**. In a de/reprotonation sequence via **D**, the amino carbene complex **20** is formed. A possible direct, metal-assisted and concerted 1,2 H-shift from **B** to **20** was found to be energetically disfavored.

The high stability of N-heterocyclic carbenes and their complexes also favors the metal-assisted tautomerization of benzimidazoles to the respective NHC complexes. Bergman, Ellman et al. found carbenes of type **22** to be intermediates in the Rh-catalyzed C–C coupling reactions of imidazoles and similar heterocycles with a variety of olefins.^[14] This reaction proceeds by an initial C–H activation step as well (Scheme 8).

The pyridine–carbene tautomerizations described by Esteruelas, Poveda, Carmona et al. have shown once again that carbene tautomers can be important intermediates and in some special cases even stable products. For [Ru₃(CO)₁₂]-catalyzed C–C coupling reactions that occur upon C–H activation,^[15] for example of pyridines, hydridovinyl complexes have been isolated as intermediates. However, in the light of the pyridine–carbene tautomerization, it is well possible that carbene isomers not only play a hypothetical role. Carbene

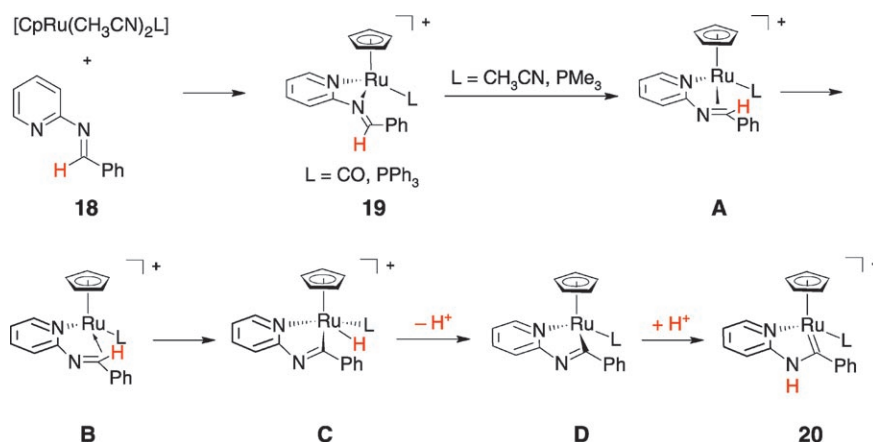


Scheme 8. Formation of the N-heterocyclic carbene complex **22** upon C–H activation of benzimidazole **21** as an intermediate in the Rh-catalyzed C–C cross-coupling reaction of heterocycles and olefins. coe = cyclooctene.^[14]

intermediates could also be involved in C–C coupling reactions of α,β -unsaturated imines with mononuclear catalysts.^[16] It remains an exciting question whether such carbene tautomerizations occur more frequently than previously thought in these kinds of C–H activation reactions.


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Scheme 7. Plausible mechanism of the benzaldimine–aminocarbene isomerization at a {CpRuL} fragment (L = CH₃CN, PMe₃) according to Kirchner et al.^[13]

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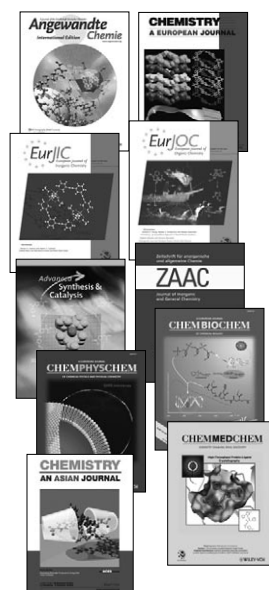
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